## **Chapter DWD 129**

## BENEFIT CLAIMING PROCEDURES

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**Note:** Chapter ILHR 129 was created by emergency rule effective 1–8–84. Chapter ILHR 129 was renumbered Chapter DWD 129 under s. 13.93 (2m) (b) 1., and corrections made under s. 13.93 (2m) (b) 6. and 7., Stats., Register, June, 1998, No. 498.

**DWD 129.001 Definitions.** Unless the context clearly indicates a different meaning, the definitions in ch. DWD 100 apply to this chapter.

**History:** Cr. Register, September, 1995, No. 477, eff. 10–1–95.

**DWD 129.01 Notice of unemployment. (1)** INITIATING A CLAIM. A claimant is eligible under s. 108.08, Stats., for benefit purposes for any week of total or partial unemployment if the claimant notifies the department by telephone, internet, or as otherwise prescribed by the department, during that week or within 7 days after the close of that week, of the claimant's intent to initiate the claim and the claimant complies with the initial and weekly filing procedures as directed by the department. Any claimant whose claim has become inactive under sub. (2) (c) must comply with the requirements of this subsection to resume a claim, unless expressly excepted in sub. (3).

- (2) CONTINUING A CLAIM BY FILING A WEEKLY CERTIFICATION.
  (a) A claimant is eligible for benefits for any week of total or partial unemployment only if the claimant files a weekly certification with the department by telephone, internet, mail, or as otherwise prescribed by the department.
- (b) The department shall consider a weekly certification to be filed when the certification is complete and submitted in compliance with the requirements of one of the following methods:
- 1. 'By telephone.' A weekly certification submitted by telephone shall be submitted and accepted within 14 days following the end of the week for which benefits are claimed. If the claimant attempts to submit a weekly certification by telephone, the department shall notify the claimant during the telephone transaction for which weeks the claimant may file a weekly certification. The department shall notify the claimant at the end of the telephone transaction if the weekly certification has been accepted. If the department notifies the claimant at the end of the telephone transaction that the weekly certification has been accepted, that certification is filed. No incomplete telephone weekly certification shall be accepted. If the claimant is not notified at the end of the telephone transaction that the weekly certification has been accepted, that certification is not filed.
- 2. 'By internet.' A weekly certification submitted via internet shall be submitted and accepted within 14 days following the end of the week for which benefits are claimed. If the claimant attempts to submit a weekly certification via internet, the department shall notify the claimant during the internet transaction for which weeks the claimant may file a weekly certification. After the claim is submitted, the department shall notify the claimant if the weekly certification has been accepted. If the department notifies the claimant at the end of the internet transaction that the weekly certification has been accepted, that certification is filed. No incomplete internet weekly certification shall be accepted. If the claimant is not notified at the end of the internet transaction that the weekly certification has been accepted, that certification is not filed.

- 3. 'By mail.' A weekly certification submitted by mail shall be received by the department within 14 days following the end of the week for which benefits are claimed or within 14 days following the date the department mailed the weekly certification to the claimant, whichever occurs later. If the last day for filing falls on Saturday, Sunday, or any of the holidays enumerated under ss. 230.35 (4) (a) and 895.20, Stats., or any other day on which mail is not delivered by the United States postal service, a weekly certification must be received by the department on the next business day. If a claimant submits a timely but incomplete mailed weekly certification, the department will send the claimant a duplicate weekly certification, and that duplicate weekly certification must be received by the department within 14 days following the date the department mailed the duplicate weekly certification to the claimant.
- 4. 'By another method prescribed by the department.' A weekly certification filed by another method prescribed by the department shall be complete and submitted within 14 days following the end of the week for which benefits are claimed, following the requirements for completion and acceptance provided by the department for that method.
- (c) If a weekly certification is not filed for a benefit week as described in par. (b), the claim shall become inactive as of the first week after the last week in which a timely weekly certification may have been filed under par. (b) 1. to 4.
- (3) RESUMING A CLAIM. After a claim becomes inactive under sub. (2) (c), a claimant may resume the claim during the current benefit year only by complying with the notice provisions of sub. (1) for initiating a claim, except if the claimant attempts to file an untimely certification for any week prior to the week the claim became inactive, notice of the claimant's intent to resume the claim shall be made in the week the claim became inactive or within 7 days after the close of that week.
- **(4)** WAIVER; EXCEPTIONAL CIRCUMSTANCES. The department shall waive the requirements of this section if exceptional circumstances exist. Exceptional circumstances include all of the following:
- (a) An error made by an employee of the department relating to the giving of notice by the claimant or a reasonable misunderstanding by the claimant based on information given to the claimant by the department.
- (b) Action by an employer, in any manner, directly or indirectly, instructing, warning, or persuading the claimant not to file a benefit claim.
- (c) The claimant did not comply because the claimant was not aware of the duty to notify the department, and the claimant's most recent employer failed to post and maintain the notice on claiming unemployment benefits that was supplied to the employer under s. DWD 120.01.
- (d) The claimant performed services as a school year employee in other than an instructional, research, or principal administrative capacity and had reasonable assurance of performing services for the employer in a similar capacity in the 2nd academic year or term but was subsequently not offered the opportunity to perform such services.

- (e) The claimant made an unsuccessful attempt to access the telephone initial claims system during a week when the system was inoperable or was unavailable for more than 40% of the time the system is scheduled to be staffed by claimstakers during that week. The times during which the system is inoperable or unavailable will be measured as follows:
- 1. Each day during the week will be divided into half-hour time periods, beginning with the time when the system is first scheduled to be staffed by claimstakers and ending with the time when the system is scheduled to no longer be staffed by claimstakers
- 2. The system will be considered to be inoperable or unavailable for any such half—hour time period during which a busy signal occurs or during which the system is not operating.
- (f) Other exceptional circumstances over which the claimant has no control.

**History:** Cr. Register, July, 1984, No. 343, eff. 8–1–84; emerg. am. (1), eff. 2–2–93; am. (1), Register, July, 1993, No. 451, eff. 8–1–93; correction in (3) (e) made under s. 13.93 (am) (b) 7., Stats., Register, July, 1993, No. 451; am. (1) and (2) (a), cr. (2) (a) 1. and 2., r. and recr. (2) (b), (3) (a) and (b), r. (2) (c), (3) (g) and (4), renum. (2) (d), (3) (intro.), (c) to (f) to be (2) (c), (4) (intro.) to (d) and am. (4) (intro.), (a), (c) and (d), Register, December, 1995, No. 480, eff. 1–1–96; cr. (4) (e), Register, November, 1999, No. 527, eff. 12–1–99; correction in (2) (b) 2. made under s. 13.93 (2m) (b) 7., Stats., Register, September, 2000, No. 537; am. (2) (a) (intro.), Register, am. (1) Register November 2002 No. 563, eff. 12–1–02; correction in (2) (b) 2. made under s. 13.93 (2m) (b) 7., Stats.; CR 06–073: am. (1), (4) (intro.), (a) (b), (c), (d) and (e), r. and recr. (2) (a), (b) and (c), and (3), r. (a) 1. and 2., cr. (4) (f), Register December 2006 No. 612, eff. 1–1–07.

**DWD 129.02 Establishment of benefit year. (1)** In order to establish a benefit year under s. 108.06 (2) (a), Stats., a claimant shall:

- (a) Comply with the notification and filing requirements under s. DWD 129.01; and
- (b) Have the minimum amount of wages in the claimant's base period as required under s. 108.04 (4) (a), Stats.
- **(2)** A claimant establishing a second or subsequent benefit year shall, in addition to the requirements of sub. (1), comply with the earnings requirement of s. 108.04 (4) (c), Stats.

**History:** Cr. Register, November, 1989, No. 407, eff. 12–1–89; emerg. am. (1) (a), eff. 2–2–93; am. (1) (a), Register, July, 1993, No. 451, eff. 8–1–93; r. and recr. (1) (a), am. (1) (b), r. (2) and (3), cr. (2), Register, December, 1995, No. 480, eff. 1–1–96.

**DWD 129.03 Backdating of benefit year; circumstances.** Under s. 108.06 (2) (bm), Stats., a claimant's benefit year begins on the Sunday of the week in which the claimant meets the requirements to establish a benefit year under s. DWD 129.02, except that the department may, by rule, permit a claimant to begin a benefit year prior to that time. The department shall permit the backdating of a benefit year if an exceptional circumstance exists. Exceptional circumstances include, but are not limited to, those listed in s. DWD 129.01 (4).

**History:** Cr. Register, November, 1989, No. 407, eff. 12–1–89; renum. (1) to be 129.03 and am., r. (2), Register, December, 1995, No. 480, eff. 1–1–96; **CR 06–073:** am. Register December 2006 No. 612, eff. 1–1–07.

## DWD 129.04 Department set aside of benefit year.

(1) REQUEST TO SET ASIDE A BENEFIT YEAR. Under s. 108.06 (2) (d), Stats., a claimant may request the department to set aside a benefit year.

- (2) Granting a request to set aside a benefit year. Under s. 108.06 (2) (d), Stats., the department shall grant the claimant's request and cancel the benefit year if the request is voluntary, benefits have not been paid to the claimant, and at the time the department acts upon the request for that benefit year the claimant's benefits eligibility is not suspended. If the claimant does not meet all of the requirements under s. 108.06 (2) (d), Stats., the department may set aside the benefit year if the conditions in both pars. (a) and (b) are met:
- (a) The department has recovered, or has waived the recovery of, all benefits paid to the claimant for that benefit year or offsets this amount against benefits the claimant would otherwise be eligible to receive at the time the request to set aside a benefit year is made.
- (b) Any of the following exceptional circumstances apply to the claim:
- 1. The department terminates coverage of an employer previously subject to ch. 108, Stats., for whom the claimant performed services in the base period and the claimant could not have foreseen this termination of coverage.
- 2. The department makes an error relating to the establishment of the claimant's benefit year.
- The wage data used by the department to establish the benefit year is erroneous.
- 4. The claimant established a benefit year in the two weeks immediately preceding the first full week of a new calendar quarter, but a benefit year established as of the first full week of the new calendar quarter would give the claimant a higher weekly benefit rate or a higher maximum benefit amount.
- 5. The claimant's first payment in the benefit year was made after an additional initial claim was filed.
- 6. The claimant is eligible to start a benefit year in another state.
- 7. The cancellation of wage credits under s. 108.04 (5), Stats., reduces the claimant's maximum benefit amount to less than 5 times the weekly benefit rate.
- 8. Other exceptional circumstances exist over which the claimant has no control that are related to establishing a benefit year.

**History:** Cr. Register, November, 1989, No. 407, eff. 12–1–89; am. (1) (intro.), (2) (a) (intro.), 2, 3. and (b) 1, r. (1) (a) to (c), cr. (2) (a) 4., Register, December, 1995, No. 480, eff. 1–1–96; CR 06–073: am. (1), r. and recr. (2), Register December 2006 No. 612, eff. 1–1–07.

**DWD 129.05 Payment of benefits. (1)** METHOD OF PAYMENT. The department shall pay benefits by checks mailed to the claimant's address of record with the department or by electronic deposit to a claimant's designated bank account unless the benefits are applied by the department for overpayments, forfeitures, child support payments under s. 108.13, Stats., or other assignments permitted under state or federal law.

(2) CHARGING OF PAYMENT. The department shall charge each benefit payment against an account in the unemployment reserve fund or the administrative account and shall periodically send each employer a record of each payment charged against its account in the fund.

**History:** Cr. Register, November, 1989, No. 407, eff. 12–1–89; am. Register, December, 1995, No. 480, eff. 1–1–96.